

10/584090
AP3 Rec'd PCT/PTO 22 JUN 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Toshiyuki MATSUMURA et al. : **Mail Stop: PCT**
Serial No. NEW : Attorney Docket No. 2006_0942A
Filed June 22, 2006 :

SPEAKER DEVICE
[Corresponding to PCT/JP2005/007099
Filed April 12, 2005]

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application
PCT/_____; and/or
- ☐ each reference previously cited in prior parent application Serial No.
_____.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the
above-entitled application, **or**

before the mailing of a first Office Action on the merits or the mailing of a first Office
Action after the filing of an RCE,

ATTACHMENT D

10/584090
AP3 Rec'd PCT/PTO 22 JUN 2006

and thus no certification and/or fee is required.

- 1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☒ a foreign patent office search report (in the English language) submitted herewith,
 - c. ☒ the concise explanation contained in the specification of the present application at page 1,
 - d. ☒ the concise explanation set forth in the attached English language abstract,
 - e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:
JP2004-537938 corresponds to US 2004/0251077A; and
JP06-311581 corresponds to US 5,581,621.
5. ☒ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Toshiyuki MATSUMURA et al.

By 
W. Douglas Hahm
Registration No. 44,142
Attorney for Applicants

WDH/ck
Washington, D.C. 20006-1021
Telephone (202) 721-8200
Facsimile (202) 721-8250
June 22, 2006

01584090
 AP3 Rec'd PCT/PTO 22 JUN 2006

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
 PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S)
 (Use several sheets if necessary)

Date Submitted to PTO: June 22, 2006

ATTY DOCKET NO.
 2006_0942A

SERIAL NO.
 NEW

APPLICANT
 Toshiyuki MATSUMURA et al.

FILING DATE
 June 22, 2006

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2004/0251077A1	12/04	Wright et al.			
	AB	5,581,621	12/96	Koyama et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AJ	2004-537938	12/04	JP				
	AK	2000-036992	02/00	JP			Abstract	
	AL	3096096	06/03	JP				
	AM	3-36988	04/91	JP				
	AN	08-109497	04/96	JP			Abstract	
	AO	06-311581	11/94	JP			Abstract	
	AP	07-284627	10/95	JP			Abstract	
	AQ	60-500645	05/85	JP				

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	
--	----	--

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.